



US Department of Commerce  
NOAA/National Marine Fisheries Service  
One Blackburn Drive  
Gloucester, MA 01930-2298

## Vessel Replacement, Upgrade, and Confirmation of Permit History Application

### Instructions

You can use this application to request a vessel replacement, a vessel upgrade or a confirmation of permit history. The following defines each action. Please read this carefully and check the action(s) for which you are applying. You may apply for more than one action on this application.

Which of the following actions are being requested?

- ☐ Vessel replacement. **(Section A)** This is a replacement of one vessel by another vessel and the transfer of fishing histories and limited access permit eligibility from the old vessel to the new vessel.
- ☐ Vessel Upgrade. **(Section B)** This is an increase in the vessel's length, tonnage or horsepower as the result of alterations made to the vessel.  
**Note:** There are no upgrade restrictions for vessels that only hold limited access lobster permits.
- ☐ Confirmation of Permit History. **(Section C)** A confirmation of permit history is required when a vessel that has been issued a limited access permit has sunk, been destroyed or been sold to another person without its permit history and a new vessel has not been purchased. **Possession of a confirmation of permit history will allow the applicant to maintain permit eligibility without owning a vessel. An application for a confirmation of permit history must be received by the Regional Administrator no later than 30 days prior to the end of the first full fishing year in which a vessel's permit can not be issued.**

Unless otherwise stated, the word "permit" means a limited access or moratorium permit.

**You must complete and sign the next page or your application will be returned. After completing that page, continue to the appropriate section and complete it also. You must send all of the required verifying documents or your application will not be processed.**

**General Information**  
**This page must be completed by all applicants**

**Provide all verifying documentation, as requested (see Section D for acceptable forms).**

Vessel Name: \_\_\_\_\_  
( for Replacements: **old vessel**; for Confirmation of Permit History: **sold or sunken vessel**;  
for Upgrades: vessel information **before the upgrade**)

Federal Northeast Fisheries Permit Number: \_\_\_\_\_

Coast Guard (CG) Documentation or State Registration Number: \_\_\_\_\_

Current owner of vessel or permit history: \_\_\_\_\_  
*Supporting document required:* You must supply proof that you own the vessel (See Section D,  
Item 2) **or** that you own the fishing history and limited access permit eligibility of that vessel  
(See Section D, Item 3).

Owner's Address: \_\_\_\_\_  
\_\_\_\_\_

Owner's Phone Number: \_\_\_\_\_

**Signature of vessel owner or authorized agent:** \_\_\_\_\_

**Printed Name:** \_\_\_\_\_ **Date:** \_\_\_\_\_

## Section A--Replacement

**Applicants requesting a vessel replacement must complete this section.**

For all vessels with limited access permits (except Lobster), the vessel size and engine horsepower may only be increased once, either through upgrade or replacement. A 10% increase in length overall, gross registered tonnage and net tonnage, and a 20% increase in engine horsepower are allowed. Vessel size and engine horsepower upgrades may be done separately. Any increase in vessel size, including length overall, gross registered tonnage and net tonnage must be done at the same time. Note, if your vessel has only a lobster limited access permit there are no size restrictions on the replacement vessel, however, if you have a lobster permit and another limited access permit, the replacement vessel is subject to the above restrictions.

A limited access permit cannot be "split" from another limited access permit. This means if two or more limited access permits are on one boat they may not be divided and put on two boats. Please call 978 281-9278 for additional explanation if you have any questions about this restriction.

To determine whether your new vessel (or upgraded vessel) may be issued a limited access permit, the size of the new vessel is compared to the "vessel baseline". A vessel baseline is the vessel's length overall, gross registered tonnage, net tonnage, and engine horsepower as of either :

a) March 22, 1999 for the following limited access permits: black sea bass, Loligo squid/butterfish, Illex squid, scup, summer flounder, and Maine mahogany quahog , or

b) The date the vessel which was initially issued a multispecies or scallop permit applied for that permit.

If you have both a multispecies or scallop permit **and** a black sea bass, Loligo squid, Illex squid, scup, summer flounder, or Maine mahogany quahog, you will have two baselines.

### Replacement Vessel (new vessel to be permitted)

Vessel Name: \_\_\_\_\_

Federal Northeast Permit Number (if issued): \_\_\_\_\_

Coast Guard (CG) Documentation or State Registration Number: \_\_\_\_\_  
(Submit copy of CG documentation or State registration).

CG documented vessels: ***You must submit a copy of the CG documentation papers and proof of engine horsepower (See Section D, Item 1).***

Other vessels: ***You must submit documentation verifying the following (See Section D, Item 1):***

-- Length Overall

-- Gross Registered Tonnage

-- Net tonnage

-- Engine Horsepower

Please mark the limited access permit histories that you are transferring. You may only select the limited access permits for which the vessel being replaced is currently qualified. Please keep in mind that all limited access permits must be transferred together and cannot be separated (See the definition of splitting above).

☐ Multispecies

☐ Scup

☐ Scallop

☐ Black Sea Bass

☐ Lobster

☐ Loligo squid/Butterfish

☐ Summer Flounder

☐ Illex Squid

☐ Maine Mahogany Quahog

☐ Monkfish

☐ Tilefish

## Section B--Upgrade

**All applicants requesting a vessel upgrade must complete the following section.**

The engine horsepower or size of a vessel with a limited access permit may be increased only once. A 10% upgrade for length overall, gross registered tonnage and net tonnage, and a 20% upgrade for engine horsepower are allowed. Vessel size and engine horsepower upgrades may be done separately. Any increase in vessel size, including length overall, gross registered tonnage and net tonnage must be done at the same time.

***All changes in vessel specifications indicated below require verifying documentation.  
(See Section D, Item 1).***

New Length Overall \_\_\_\_\_ New Gross Registered Tonnage \_\_\_\_\_

New Net Tonnage \_\_\_\_\_ New Horsepower \_\_\_\_\_

Note; instead of gross registered tonnage and net tonnage information, you may submit verification of vessel breadth and depth, and length overall.

## Section C--Confirmation of Permit History

**All applicants requesting a Confirmation of Permit History (CPH) must complete the following section.**

Indicate the permits requiring issuance of a Confirmation of Permit History. The following are the only fisheries for which CPH certificates are required or available. You may only apply for those permits for which the vessel is currently qualified:

- |  |   |
|--|---|
| <input type="checkbox"/> Multispecies          | <input type="checkbox"/> Scup                           |
| <input type="checkbox"/> Scallop               | <input type="checkbox"/> Black Sea Bass                 |
| <input type="checkbox"/> Lobster               | <input type="checkbox"/> <u>Loligo</u> squid/Butterfish |
| <input type="checkbox"/> Summer Flounder       | <input type="checkbox"/> <u>Illex</u> Squid             |
| <input type="checkbox"/> Maine Mahogany Quahog | <input type="checkbox"/> Monkfish                       |
|  | <input type="checkbox"/> Tilefish                       |

Please check all that apply:

- ☐ Vessel sold (Supporting documentation required. See Section D, Item 3)  
☐ Vessel destroyed (Supporting documentation required. See Section D, Item 4)

## Section D--Supporting Documentation Required

The following are acceptable forms of verification. You are only required to submit one of the acceptable forms of documentation for any category.

### 1. *Vessel specification documentation*

- ▶ For all vessels, you must verify horsepower by providing one of the following:
  - Marine survey documentation showing the name and location of the marine surveyor
  - Mechanic certification
  - Engine manufacturer specification and proof that the engine is installed on your vessel
- ▶ For Coast Guard documented vessels, you must provide a copy of the documentation papers.\*
- ▶ For State registered vessels, you must verify length overall, gross registered tonnage, and net tonnage by providing one of the following:
  - Marine survey documentation showing the name and location of the marine surveyor; survey may indicate either gross and net tons or provide information on the vessel's breadth and depth (not draft), and length overall.
  - US Bureau of Shipping document

### 2. *Vessel ownership documentation*

- ▶ To verify the ownership of a vessel, please provide a copy of one of the following:
  - Current Coast Guard documentation
  - Current State registration

### 3. *Vessel permit history ownership*

- ▶ To verify that you have retained the permit history of a vessel you no longer own, please provide one of the following :
  - A bill of sale or document stating that the permit history has been retained by you (the seller) and signed by you and the buyer.
  - A copy of the confirmation of permit history.

### 4. *Vessel destruction*

- ▶ To verify that the vessel has been destroyed or is no longer seaworthy, please provide one of the following:
  - Coast Guard accident report.
  - Insurance adjustor's report.
  - Verification from certified marine surveyor that vessel is no longer seaworthy.

\* By submitting this documentation you confirm that the vessel length overall is correct as indicated on the CG documentation. If it is not, please submit additional documentation to confirm the length overall of the vessel.

**NOTE: Submission of fraudulent or inaccurate information could result in enforcement action, up to and including fines, permit sanctions, or the revocation of limited access permits.**

**YOUR COMPLETED APPLICATION SHOULD INCLUDE THE FOLLOWING:**

**APPLICATION FOR VESSEL REPLACEMENT**

1. You should complete and submit:
  - ▶ Page 2 - General Information
  - ▶ Page 3 - Section A--Replacement
2. You should submit the following supporting documentation for BOTH \* the vessel being replaced (old vessel) and the replacement vessel:
  - ▶ Vessel specification documentation (See Section D-Item 1)(not necessary for vessels holding only limited access lobster permits)
  - ▶ Vessel/History ownership verification (See Section D-Items 2 or 3 as applicable) or proof of vessel destruction (See Section D-Item 4)

\* Note; if you have already established a baseline for the old vessel by submitting information verifying your vessel's baseline specifications, you will not need to submit additional verification. You should submit information about the replacement vessel.

**APPLICATION FOR A VESSEL UPGRADE**

1. You should complete and submit:
  - ▶ Page 2 - General Information
  - ▶ Page 4 - Section B--Upgrade
2. You should submit the following supporting documentation:
  - ▶ Vessel specification documentation (See Section D-Item 1)

**APPLICATION FOR A CONFIRMATION OF PERMIT HISTORY**

1. You should complete and submit:
  - ▶ Page 2 - General Information
  - ▶ Page 4 - Section C--Confirmation of Permit History
2. You should submit the following supporting documentation:
  - ▶ History ownership verification (See Section D-Item 3) or proof of vessel destruction (See Section D-Item 4)

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**Request for a replacement, upgrade notification, or history retention :**

The application to allow the respondent to request a replacement of one vessel by another, change vessel specifications due to an upgrade, or retain a vessel's history, is meant to aid in the administration and enforcement of the regulations that relate to these programs. This form will save the respondent time and effort in that all the requirements relating to the interrelated actions are included on one form. These requirements have been adopted as part of the effort reduction programs under the Fishery Management Plans (FMPs) that govern the fisheries involved, and are consistent with the conservation goals of the FMPs. The information provided on this application will allow industry members to replace older vessels with new vessels or retain histories, in a manner consistent with the effort reduction requirements of the FMPs. The information provided through any requests to upgrade a vessel specification will be used for the purposes of monitoring compliance with effort reduction programs.

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The information requested on this form is not confidential and will be available to the general public.

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**BURDEN STATEMENT:** Public reporting burden for this collection of information is estimated to average three hours per response for vessel replacements and upgrades, and 30 minutes for vessel history retention. These estimated response times include the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to National Marine Fisheries Service, Fishery Management Operations Division, 1 Blackburn Drive, Gloucester, MA 01930-2298.

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